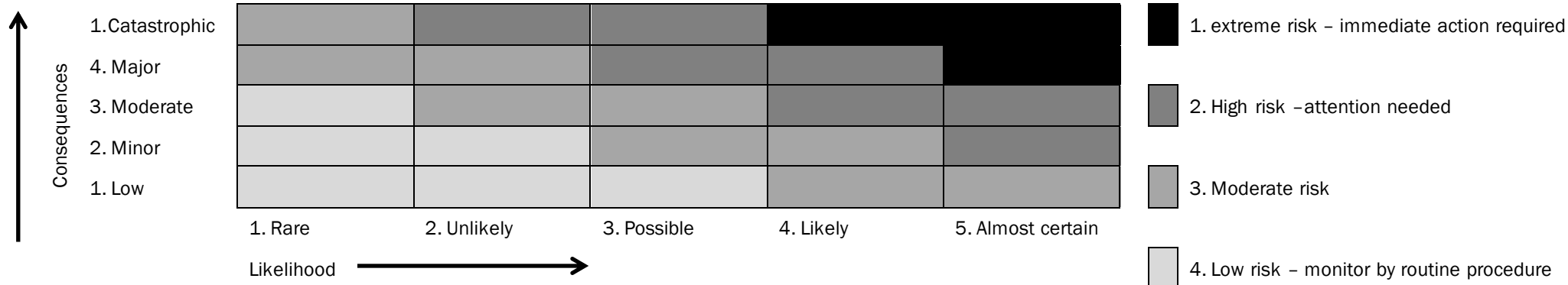


General Risk Assessment

| |
|--|
| Area/activity being assessed: |
| Assessment conducted by: |
| People consulted: Who did you consult? |

| |
|--------------|
| Date of plan |
| |

| Risk identified What could happen? Provide a name for the risk along with a short description | Level of risk With no controls in place | | | Current controls to manage risk | Revised risk With current controls in place | | | Further planned risk treatment action | Completion date Date the risk treatment will be completed |
|---|--|-------------|-------------|---------------------------------|--|-------------|-------------|---------------------------------------|--|
| | Likelihood | Consequence | Risk Rating | | Likelihood | Consequence | Risk Rating | | |
| e.g. contractor cannot finish work – part way through build contractor cannot finish | 3 | | | • | | | | • Enter planned treatment action | Choose a date. |
| | | | | • | | | | • Enter planned treatment action | Choose a date. |
| | | | | • | | | | • Enter planned treatment action | Choose a date. |
| | | | | • | | | | • Enter planned treatment action | Choose a date. |
| | | | | | | | | • | |
| | | | | • | | | | • | |
| | | | | • | | | | • | |
| | | | | • | | | | • | |



| Rating | | Likelihood of occurrence |
|----------------|---|---|
| Almost certain | 5 | Is expected to occur in most circumstances |
| Likely | 4 | Will probably occur |
| Possible | 3 | Might occur at some time in the future |
| Unlikely | 2 | Could occur but doubtful |
| Rare | 1 | May occur but only in exceptional circumstances |

| Consequence | Financial | Environmental | Asset/infrastructure | Human/OH&S | Service delivery/ business interruption | Information systems/ corporate records |
|-----------------|--|--|---|--|--|---|
| 5. Catastrophic | <ul style="list-style-type: none"> Significant revenue shortfall/expenditure blowout | <ul style="list-style-type: none"> Major, irreversible damage | <ul style="list-style-type: none"> Long-term loss of significant facility | <ul style="list-style-type: none"> Single or multiple death/s Shire wide epidemic | <ul style="list-style-type: none"> Multiple ongoing occurrences over 3 days Critical service loss for more than one month | <ul style="list-style-type: none"> Major system crash Permanent loss of core data or records |
| 4. Major | <ul style="list-style-type: none"> Expenditure is 10% over budget. Revenue decreases by over 10% | <ul style="list-style-type: none"> Significant harm requiring restorative work. | <ul style="list-style-type: none"> Short to mid-term loss of significant facility, or the total loss of a smaller facility | <ul style="list-style-type: none"> Loss of staff member Serious health impact on multiple members of public | <ul style="list-style-type: none"> Significant occurrence for over 3 days Critical service loss for up to one month | <ul style="list-style-type: none"> Serious disruption to critical system leads to more than 3 days downtime Unauthorised access to systems or information and inappropriate use of critical information |
| 3. Moderate | <ul style="list-style-type: none"> Expenditure is under 10% over budget Revenue decreases by under 10% | <ul style="list-style-type: none"> Residual pollution requiring clean-up work. | <ul style="list-style-type: none"> Damage to one part of a major facility or many parts/ all of a small facility | <ul style="list-style-type: none"> Serious health impact on member of the public More than 10 days rehab for staff member | <ul style="list-style-type: none"> Significant occurrence for less than 3 days Critical service loss not back in agreed time | <ul style="list-style-type: none"> No access for 5 business days or more to priority information Unauthorised access to systems or information Short term loss to critical systems |
| 2. Minor | <ul style="list-style-type: none"> Expenditure is under 5% over budget Revenue decreases by under 5% | <ul style="list-style-type: none"> Contained, temporary pollution | <ul style="list-style-type: none"> Damage to internal assets, systems etc. Damage to part of a facility | <ul style="list-style-type: none"> Injury to staff member results in lost time Moderate health impact on public. Medical attention required. | <ul style="list-style-type: none"> Disruption for several stakeholders Brief service loss for minimum period | <ul style="list-style-type: none"> Disruption to systems or records with some downtime |
| 1. Low | <ul style="list-style-type: none"> Minimal or no impact on budget | <ul style="list-style-type: none"> Temporary non-hazardous pollution | <ul style="list-style-type: none"> Negligible property damage, e.g. storm, criminal, accidental | <ul style="list-style-type: none"> Minor first aid required Minor health impact on staff or public | <ul style="list-style-type: none"> Minor disruption to a few stakeholders Business disruption, but no loss of service | <ul style="list-style-type: none"> Minor disruption to systems or records with no downtime |